



PROTECTED

June 27, 2006

[REDACTED]

Dear [REDACTED]

This is further to our letter of January 17, 2006 regarding the investigation of allegations of scientific misconduct against [REDACTED]

The matter was reviewed by NSERC's Committee on Professional Scientific Integrity (CPSI), a sub-committee of Council's Executive Committee. The CPSI is mandated to review the outcomes of investigations of allegations of misconduct, and when misconduct has been concluded, to advise the President on administrative sanctions that may be appropriate.

The CPSI met on June 16, 2006 by teleconference, and while they found the investigation report to be compliant to the *Tri-Council Policy: Integrity in Research and Scholarship*; CPSI members expressed disappointment with the quality of the investigation report. Given the severity of the allegations of misconduct and the evidence presented by the complainants in support of the allegations, and the respondent's acceptance of the findings, the report was found to lack thoroughness; in addition, members expressed concern that the university investigation was not sufficiently at arm's length. *

Despite the lack of thoroughness in the university's investigation report, the members of CPSI found that the documents presented by the complainants provided sufficient evidence of misconduct to warrant that severe sanctions be imposed by NSERC, and therefore, recommended the following sanctions:

1. NSERC send a letter of reprimand to [REDACTED] indicating NSERC's expectation of complete and rigorous compliance with the *Tri-Council Policy Statement: Integrity in Research and Scholarship* and the [REDACTED]
2. A termination of [REDACTED] Grant effective March 31, 2007 (end of 2nd instalment of a 3 year grant, this will allow a phase-out period for the support of students).
3. An indefinite ban from applying for NSERC funding.
4. An indefinite ban from acting as an external reviewer for NSERC.
5. An indefinite ban from being considered a potential NSERC peer review committee member.

Canada

