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From: Mort Shirkhanzadeh <[shirkhan@queensu.ca](mailto:shirkhan@queensu.ca)>  
Subject: allegations of institution non-compliance  
Cc: Kerry Rowe <[rowek@queensu.ca](mailto:rowek@queensu.ca)>

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Dear Ms. Emond,

I am requesting that my allegations below be investigated in accordance with Schedule 8: Investigation and Resolution of Breach of Agency Policies of the MOU. Please let me know if you require further clarification.

#### Allegations of institution non-compliance

Many of the allegations against Dr. Smith in 2005 were related to the issue of intentional bogus authorship. These allegations and supporting documents were sent to Dr. Rowe's office throughout 2005. In many instances, the authorship in the duplicated papers was intentionally changed in order to give the impression that the recycled work was a new contribution. The records of the investigation show that none of the allegations of bogus authorship were investigated. I raised concerns about this issue in a letter dated January 12, 2006 (attached) but I received no satisfactory response from the university.

According to the Tri-Council policy, the councils hold researchers and scholars receiving council funds responsible for "ensuring that authorship of published work includes all those who have materially contributed to, and share responsibility for, the contents of the publication, and only those people;". According to the Tri-Council Policy Statement, "It is the responsibility of the institution to investigate all possible instances of misconduct in research and scholarship, including determining whether a breach of integrity has taken place". In this instance, the numerous allegations of bogus authorship that I brought to the attention of Dr. Rowe in 2005 were not investigated and the university did not determine whether or not a breach of integrity took place. This is in breach of the Agency policy.

The university investigation in 2005 was not at arm's length. Dr. McLatchie's overall assessment was the assessment of one man only, and not a credible committee. The university investigation was superficial and lacked thoroughness. I request that appropriate procedures be followed by the institution to clearly determine whether or not a breach of integrity took place with respect to the issue of bogus authorship that occurred in numerous published papers and over an extended period of time.

Sincerely,

M.Shirkhanzadeh

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